

## **OTP, CD44, and Ki-67: A prognostic marker panel for relapse free survival in patients with surgically resected pulmonary carcinoid**

L. Moonen<sup>1</sup>, J. L. Derks<sup>2</sup>, M. A. den Bakker<sup>3</sup>, L. M. Hillen<sup>1</sup>, R. J. van Suylen<sup>4</sup>, J. H. von der Thüsen<sup>5</sup>, L. M. V. Lap<sup>1</sup>, B. J. C. A. Marijnissen<sup>1</sup>, R. A. Damhuis<sup>6</sup>, K. M. Smits<sup>1</sup>, E. C. van den Broek<sup>7</sup>, W. A. Buikhuisen<sup>8</sup>, PALGA group, A. M. C. Dingemans<sup>2,9</sup>, E. J. M. Speel<sup>1,5\*</sup>

<sup>1</sup> Department of Pathology, GROW School for Oncology and Reproduction, Maastricht University Medical Centre, Maastricht, The Netherlands

<sup>2</sup> Department of Pulmonary Diseases, GROW School for Oncology and Reproduction, Maastricht University Medical Centre, Maastricht, The Netherlands

<sup>3</sup> Department of Pathology, Maasstad Hospital, Rotterdam, the Netherlands

<sup>4</sup> Pathology-DNA, location Jeroen Bosch Hospital, 's-Hertogenbosch, the Netherlands

<sup>5</sup> Department of Pathology and Clinical Bioinformatics, Erasmus MC, Rotterdam, the Netherlands

<sup>6</sup> Department Research, Comprehensive Cancer Association, Utrecht, the Netherlands

<sup>7</sup> PALGA foundation, Houten, the Netherlands

<sup>8</sup> Department of Thoracic Oncology, Netherlands Cancer Institute Amsterdam, The Netherlands

<sup>9</sup> Department of pulmonology, Erasmus MC Cancer Institute, University Medical Center, Rotterdam, the Netherlands

### **Abstract**

#### Introduction

Although most patients with pulmonary carcinoid (PC) can be cured by surgery, relapse may occur until 15 years after resection in up to 10% of patients. This is unpredictable at the outset, necessitating extensive follow-up (FU). We sought to determine whether an immunohistochemical marker panel (OTP, CD44, Ki-67) could provide better indication for relapse-free survival (RFS) and increase uniformity among pathologists regarding carcinoid classification.

#### Methods

All surgically resected PC (2003-2012) were identified in the Dutch cancer/pathology registry, and a matched relapse vs. non-relapse cohort (ratio 1:2, N=161) was created. Cases were revised by four pathologists and additionally for IHC-markers. The marker panel was applied to the complete population-based cohort (N=536) to investigate the negative predictive value (NPV) of relapse.

#### Results

Median FU was 86.7 months. WHO classification among pathologists revealed poor overall agreement (mitotic count:0.380, necrosis:0.476) compared with IHC markers (Ki-67: 0.917,

OTP: 0.984, CD44: 0.976). The mean NPV of all pathologists increased from 0.74 (WHO) to 0.85 (IHC marker panel). IHC risk stratification of the complete cohort, regardless of subtype, showed a statistically significant difference in RFS between high-risk (n=222) and low-risk (n=314) patients, with an NPV of 95.9%.

### Conclusion

In conclusion, our results support the use of biomarker-driven FU management for PC patients as the OTP/CD44/KI-67 marker panel can reliably predict which patients will probably not develop relapse over time and may benefit from a more limited post-operative follow-up. Furthermore, IHC marker assessment by pathologists for PC stratification is superior to traditional WHO typing.